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Appl. S.N. 09/744,751
Atty. Docket No. 0217-0006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Reel/Frame: 012196/0511

Jeong et al.

Art Unit: 1615

Serial No. 09/744,751

Assignment Examiner: S. Latimer

Filed: June 01, 2001

For: Lipid Emulsion and Solid Lipid
Nanoparticle as a Gene or Drug Carrier

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REQUEST FOR CORRECTED NOTICE OF RECORDATION

Honorable Commissioner of Patents
And Trademarks
Washington, DC 20231

Sir:

Applicant(s) hereby request(s) that a corrected Notice of Recordation be issued and sent to the undersigned representative.

Specifically, it is noted that the following are incorrect on the present Notice of Recordation:

1. Misspelling in the Assignee's address

In support of the above request, a photocopy of the incorrect Notice of Recordation with the corrections noted in red, a photocopy of all previously filed Assignment documents associated with the Notice of Recordation, and 1 return postcard are enclosed.

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It is therefore respectfully requested that a corrected Notice of Recordation be issued and officially entered into the case, which reflects the correction of all the above-noted error(s). It is further requested that the corrected Notice of Recordation be sent to the undersigned at the earliest possible time.

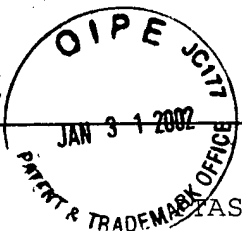
Respectfully submitted,

SHANKS & HERBERT

By: Mark R Shanks
Mark R. Shanks
Reg. No. 33,781

Date: 1/30/02

TransPotomac Plaza
1033 N. Fairfax Street
Suite 306
Alexandria, VA 22314



NOVEMBER 30, 2001

SHANKS & HERBERT
TONI-JUNELL HERBERT, ESQ.
1033 N. FAIRFAX ST, STE 306
ALEXANDRIA, VA 22314

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov



101902212A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER
REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/01/2001

REEL/FRAME: 012196/0511
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
JEONG, SEO YOUNG

DOC DATE: 03/08/2001

ASSIGNOR:
KWON, ICK CHAN

DOC DATE: 03/09/2001

ASSIGNOR:
CHUNG, HESSON

DOC DATE: 03/09/2001

ASSIGNEE:
KOREA INSTITUTE OF SCIENCE AND
TECHNOLOGY
39-1, HAWOLGOK-DONG
SUNGBOOK-KU
SEOUL 136-791, REPUBLIC OF KOREA

SERIAL NUMBER: 09744751
PATENT NUMBER:

FILING DATE:
ISSUE DATE:

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012196/0511 PAGE 2

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
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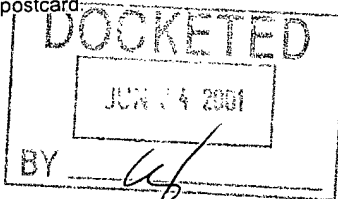
Applicant: Jeong, et al.
App. Serial No: 09/744,751
Filed: January 29, 2001

Docket No.: 0217-0006
By: David W. Woodward
Shanks & Herbert

For: Lipid Emulsion and Solid Lipid
Nanoparticle as a Gene or Drug
Carrier

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following:

1. Transmittal Cover Letter to PTO;
2. An original, executed Combined Declaration and Power of Attorney;
3. Verified Statement Claiming Small Entity Status - Nonprofit Organization;
4. Recordation Cover Sheet;
5. An original, executed Assignment;
6. Check in the amount of \$105 (\$65 small entity fee for late filing of Declaration and \$40 recordation fee); and
7. Return postcard.



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**SHANKS & HERBERT**Intellectual Property
Attorneys at LawOf Counsel:
Patricia Ann Johnson

Writer's Direct Dial: 703-386-6102

TransPotomac Plaza
1033 N. Fairfax Street
Suite 306
Alexandria, VA 22314
Telephone: 703-683-3600
Facsimile: 703-683-9875

11-30-2001

10190221
Smith Barney Tower, Suite 330
4350 La Jolla Village Drive
San Diego, CA 92122
Telephone: 858-597-6699
Facsimile: 858-597-6699

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RECORDATION COVER SHEET - PATENT APPLICATION

NAME OF CONVEYING PARTY : JEONG, Seo Young;
KWON, Ick Chan; and
CHUNG, Hesson

NAME OF RECEIVING PARTY : Korea Institute of Science and
Technology

ADDRESS OF RECEIVING PARTY : 39-1, Hawolgok-dong, Sungbook-ku,
Seoul 136-791, Republic of Korea

NATURE OF CONVEYANCE : Assignment of U.S. patent application

CONVEYANCE EXECUTION DATE : March 8 and 9, 2001

PATENT APPLICATION NO. : 09/744,751

APPLICATION FILED TOGETHER
WITH THIS DOCUMENT : NO

APPLICATION EXECUTION DATE : March 8 and 9, 2001

CORRESPONDENCE
ADDRESS : Toni-Junell Herbert, Esq.
SHANKS & HERBERT
1033 N. Fairfax St., Suite 306
Alexandria, VA 22314

ATTORNEY REFERENCE : 0217-0006

TOTAL NUMBER OF PATENTS
AND APPLICATIONS INVOLVED : One

TOTAL FEE PAID : \$40.00

METHOD OF PAYMENT : [X] Check

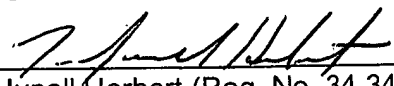
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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

If this Filing Fee is insufficient for any reason, please credit any overpayment or charge any remaining fees our Deposit Account 50-0622.


Toni-Junell Herbert (Reg. No. 34,348)

June 1, 2001
Date

Total number of pages including cover sheet, attachments and document: 2

06/07/2001 UEDUVIJE 00000061 09744751

02 FC:581

40.00 DP

ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned: Seo Young Jeong, Ick Chan Kwon, and Hesson Chung, the undersigned hereby sell(s) and assign(s) to Korea Institute of Science and Technology (the Assignee) his/her entire right, title and interest

check applicable box(es) ☒ for the United States of America (as defined in 35 U.S.C. Sec. 100),
☒ and throughout the world

in the invention(s) known as Lipid Emulsion and Solid Lipid Nanoparticle as a Gene or Drug Carrier for which application(s) for patent in the United States of America has (have) been executed by the undersigned on _____ (also known as United States Application No. 09/744,751, filed January 29, 2001), in any and all applications thereon in any and all Letters Patent(s) therefor, and in any and all reissues, extensions, renewals, reexaminations, divisions and continuations of such applications or Letters Patent(s) to the full end of the term or terms for which such Letters patent(s) issue, such entire right, title and interest to be held and enjoyed by the above-named Assignee the same as they would have been held and enjoyed by the undersigned had this assignment and sale not been made.

The undersigned agree(s) to execute all papers necessary in connection with the application(s) and any continuing, divisional, reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning the application(s) or any continuation, division, reissue or reexamination thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

The undersigned hereby covenant(s) that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict therewith.

The undersigned hereby grant(s) Toni-Junell Herbert, Registration No. 34,348, Mark R. Shanks, Registration No. 33,781, David W. Woodward, Registration No. 35,020, Keith D. Hutchinson, Registration No. 43,687, Joseph G. Contrera, Registration No. 44,628, Chalin A. Smith, Registration No. 41,569, Shelly Guest Cermak, Registration No. 39,571, Suzannah K. Sundby, Registration No. 43,172, and the attorneys of SHANKS & HERBERT, TransPotomac Plaza, 1033 N. Fairfax St., Suite 306, Alexandria, Virginia 22314, power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date: 01/2/8

Signature of Inventor: _____

Seo Young Jeong
Seo Young Jeong

Date: 01/2/9

Signature of Inventor: _____

Ick Chan Kwon
Ick Chan Kwon

Date: Mar. 9, 01

Signature of Inventor: _____

Hesson Chung
Hesson Chung